

# **INTRODUCTION**

Pursuant to § 18-11 of the Charter of the City of Rochester, and in the interest of public accountability, the Police Accountability Board has made the following investigative report public. It has been redacted so as not to disclose the identities of the officers and civilians involved.

Pursuant to *Rochester Police Locust Club, Inc. v. City of Rochester*, 41 N.Y.3d 156 (2023), Rochester Police Officers can only be disciplined by the Rochester Police Department. Accordingly, where a finding of police misconduct has been sustained by the Board, the PAB issues disciplinary recommendations to the Chief based on our Disciplinary Matrix.

The final Board decision as to the PAB determination of misconduct and recommended discipline are followed by the investigatory report prepared by PAB staff.

#### **BOARD DECISION**

Public Tracking Number (PTN): 2023-0136

**Date of Panel Review:** 13-Jun-2024 1:00 PM (EDT)

# **Case Findings:**

Allegation 1: Sustained

Allegation 2: Not Sustained

Allegation 3: Sustained

Allegation 4: Sustained

Allegation 5: Sustained

Allegation 6: Sustained

Allegation 7: Not Sustained

Allegation 8: Not Sustained

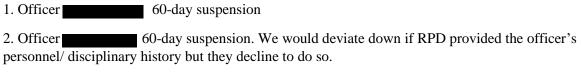
Allegation 9: Sustained

Allegation 10: Sustained

Allegation11: Sustained

Allegation 12: Sustained

# **Disciplinary Recommendation:**



3. Officer 60-day suspension. We would deviate down if RPD provided the officer's personnel/disciplinary history but they decline to do so.

# **Dissenting Opinion/Comment:**

Board member would vote to sustain Allegations 7 and 8: because the arrest was not lawful and handcuffs did not need to be used.

# **DEFINITIONS**

**Exonerated:** A finding at the conclusion of an investigation that either the alleged act did not occur, or that although the act at issue occurred, the subject officer's actions were lawful and proper and within the scope of the subject officer's authority under police department guidelines.

**Not Sustained:** A finding at the conclusion of an investigation that there is insufficient evidence to establish whether an act of misconduct occurred.

**Sustained:** A finding at the conclusion of an investigation by a preponderance of the evidence that the subject officer committed the act charged in the allegation and that it amounted to misconduct.

**Closed:** Vote to close the case.

# Officer Name- Allegation # 1:

Officer Rule 2.1b (General Duties) Officer failed to perform his duties in a competent manner.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

# Officer Name- Allegation # 2:

Officer Rule 2.23b (Performance of Duties) Officer committed an act of misfeasance, through the wrongful exercise of lawful authority when arresting

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

# Officer Name- Allegation #3:

Officer Rule 2.23c (Performance of Duties) Officer committed an act of malfeasance, by wrongfully arresting

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

# Officer Name- Allegation # 4:

Officer Rule 4.2a (Courtesy) Officer failed to remain courteous, civil and tactful in the performance of his duties.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

#### Officer Name- Allegation # 5:

Officer Rule 4.6 (Truthfulness) Officer was untruthful when documenting this incident on the Incident Report and Accusatory Instrument.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes

**Does the Board Agree with the Proposed Disciplinary Action?** Yes

# Officer Name- Allegation # 6:

Officer Rule 5.1a (Altering, delaying, or falsifying reports) Officer falsified the Incident Report and Accusatory Instrument by inaccurately depicting the events that led to the arrest to

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

# Officer Name- Allegation #7:

Officer General Order 335 (Subject Resistance Report) Officer used unnecessary force when mechanically restraining via handcuffs.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

### Officer Name- Allegation #8:

Officer General Order 337 (Use of Force) Officer used unnecessary force when mechanically restraining via handcuffs.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

# Officer Name- Allegation #9:

Officer General Order 585 (Arrest) Officer did not arrest accordance with the CPL of the State of New York.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

# Officer Name- Allegation # 10:

Officer Body Worn Camera Manual: Officer failed to activate and use his BWC in accordance with the Body Worn Camera Manual.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

# Officer Name- Allegation # 11:

Officer Body Worn Camera Manual: Officer failed to activate his BWC in accordance with the Body Worn Camera Manual.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

# Officer Name- Allegation # 12:

Officer Body Worn Camera Manual: Officer failed to activate his BWC in accordance with the Body Worn Camera Manual.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes



### CLOSING REPORT

# STATEMENT OF AUTHORITY

Article XVIII of the Rochester City Charter defines the authority and duties of the Police Accountability Board. Pursuant to § 18-1, "The Police Accountability Board shall be the mechanism to investigate such complaints of police misconduct and to review and assess Rochester Police Department patterns, practices, policies, and procedure...The Police Accountability Board shall provide a nonexclusive alternative to civil litigation."

#### EXECUTIVE SUMMARY On 07/09/2023 at approximately 6:50 PM, Officers and responded to Rochester, NY 14607, for the report that was suicidal and currently missing. The former girlfriend of , placed the 911 call. the father of arrived at the apartment on University Avenue to collect his refused to provide RPD with his son's location, stating his son was fine. son's belongings. Officer entered the apartment to speak with and to try to obtain whereabouts. No one else was present in the apartment at this time. While inside the home, uncooperative, and an argument ensued between Officer and resulting in Officer for Disorderly Conduct without proper cause. arresting complained that Officer used excessive force, as his cuffs were tight Additionally, and caused numbness to his hand. However, there was insufficient evidence to determine whether Officer used unnecessary force when mechanically restraining via handcuffs. Following his arrest, arrived on the scene. was issued an appearance ticket for Disorderly Conduct and subsequently released. INVOLVED OFFICERS Officer Date of Race/Ethnicity Officer Name Badge/Employee # Sex Rank Appointment



# INVOLVED INDIVIDUALS

Name	Age	Sex	Race/ Ethnicity

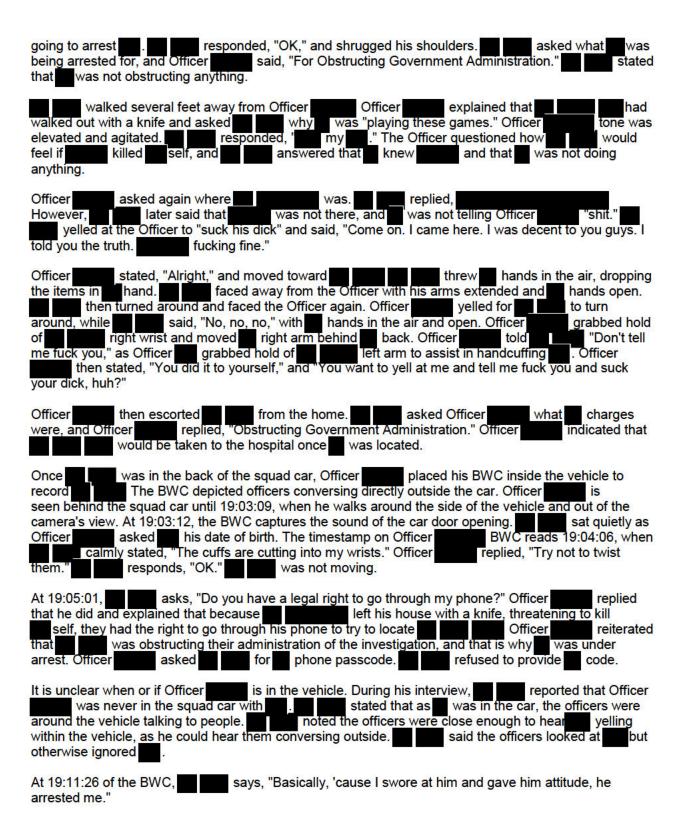
# **ALLEGATIONS**

ALL	EGATIONS	
1	Officer	Rule 2.1b (General Duties) Officer failed to perform his duties in a competent manner.
2	Officer	Rule 2.23b (Performance of Duties) Officer committed an act of misfeasance, through the wrongful exercise of lawful authority when arresting
3	Officer	Rule 2.23c (Performance of Duties) Officer committed an act of malfeasance, by wrongfully arresting
4	Officer	Rule 4.2a (Courtesy) Officer failed to remain courteous, civil and tactful in the performance of his duties.
5	Officer	Rule 4.6 (Truthfulness) Officer was untruthful when documenting this incident on the Incident Report and Accusatory Instrument.
6	Officer	Rule 5.1a (Altering, delaying, or falsifying reports) Officer falsified the Incident Report and Accusatory Instrument by inaccurately depicting the events that led to the arrest to
7	Officer	General Order 335 (Subject Resistance Report) Officer used unnecessary force when mechanically restraining via handcuffs.
8	Officer	General Order 337 (Use of Force) Officer used unnecessary force when mechanically restraining via handcuffs.
9	Officer	General Order 585 (Arrest) Officer arrested without a legal basis to do so.
10	Officer	Body Worn Camera Manual: Officer failed to activate and use his BWC in accordance with the Body Worn Camera Manual.
11	Officer	Body Worn Camera Manual: Officer to activate his BWC in accordance with the Body Worn Camera Manual.
12	Officer	Body Worn Camera Manual: Officer failed to activate his BWC in accordance with the Body Worn Camera Manual.



#### INVESTIGATION filed a report with the Police Accountability Board ("PAB") via online portal on 07/11/2023. The Rochester Police Department ("RPD") was notified of PAB's investigation on 08/18/2023. On 11/30/2023, RPD provided the PAB with 13 body-worn camera files created by Officers and as well as two Computer-Aided Dispatch ("CAD") reports, an incident report, a discovery checklist, accusatory instrument, appearance ticket and prisoner data report. A review of the Body Worn Camera (hereinafter – BWC) revealed the following: On 07/09/2023 at approximately 6:50 PM, Officers and Rochester, NY 14607, for the report that responded to was suicidal and currently missing. Officer BWC reveals that upon arrival, officers met on the sidewalk. indicated that has agoraphobia. During his confirmed his diagnosis of agoraphobia, in addition to having a panic disorder interview, with OCD. The National Library of Medicine defines agoraphobia as "the anxiety that occurs when one is in a public or crowded place, from which a potential escape is difficult, or help may not be readily available. It is characterized by the fear that a panic attack or panic-like symptoms may occur in these situations. Individuals with agoraphobia, therefore, strive to avoid such situations or locations." (https://www.ncbi.nlm.nih.gov/books/NBK554387/) expressed to officers that his son was nearby, he loves his son more than anything, and that his diagnosis of agoraphobia meant that he has an extreme fear of leaving the house. indicated that he was going to pick his son up. Officers questioned where was. responded, ' tone was flat and calm. didn't want me to tell you, so I'm not going to." tone was fi they could speak with his son's girlfriend. The Officers questioned if told the officers had called the police, to responded that which had called them. led the officers to the apartment, where retrieved SO that she could speak to the officers. entered the apartment as talked to the officers outside. stated that had gotten into an argument, and and planned to leave. She said that pulled out a knife and threatened to kill self. said that paced around, making statements such as, 'can't go with them," "I can't be in an ambulance or in the hospital," and "It's going to kill me if I do that. I can't do that." reported left the house with a knife. that On Officer Body Worn Camera footage, at 18:56:39, Officer is. He then stated, "It's not like be obstructing administration if knows where responded, "Is it good if I go inside and have a conversation with linaudible.1 Officer before this turns into something it doesn't have to?" entered the home to speak with Officer stated that based on what would have to tell him where his son was. reported, refused. Officer stated, "This is the way it's gonna go, OK?" responded, "You tell me how the fuck it's gonna go." tone was agitated and elevated. Officer told to turn around and that



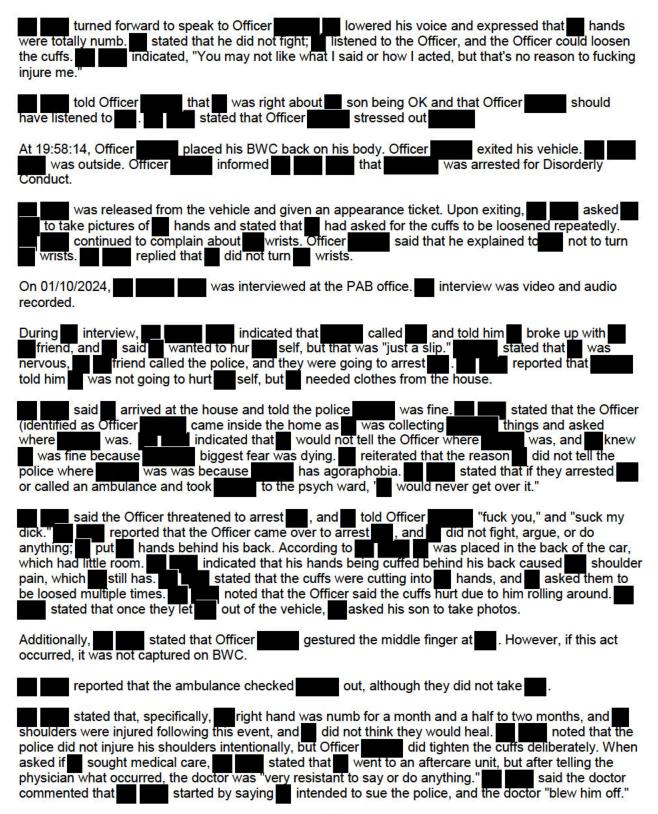




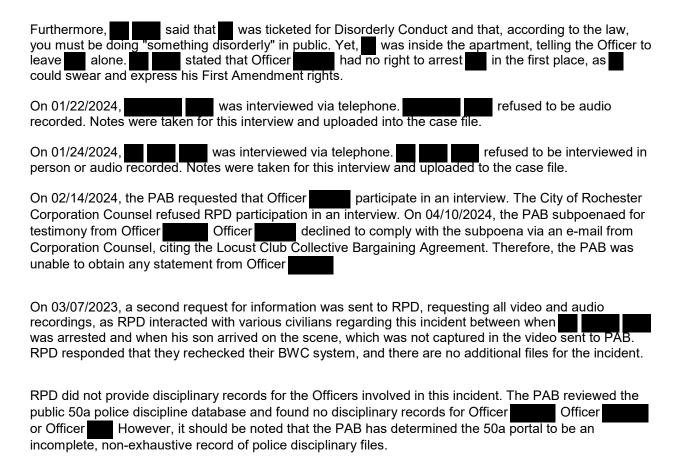
began yelling out to the officers directly behind the squad car.

At 19:13:17, Some screams, 'Do not do it! Don't tell him shit!"
lowered voice. "They're breaking- they're asking for my passcode to get into my fucking phone. Alright, that's - I'm definitely contacting a lawyer on this shit."
Officer could not access phone due to passcode. Regardless, Officer had just cause to access phone due to the "Emergency Doctrine," which allows Officers to access cell phones without a warrant in emergencies. (https://data-rpdny.opendata.arcgis.com/documents/719386ad80a04d0c9da2abd92a284d20/explore)  During interview, com/documents/719386ad80a04d0c9da2abd92a284d20/explore)  During interview, com/documents/719386ad80a04d0c9da2abd92a28dd20/explore)  During interview, com/documents/719386ad80a04d0c9da2abd92a28dd20/explore)  During com/documents/719386ad80a04d0c9da2abd92a28dd20/explore)  The com/documents/719386ad80a04d0c9da2abd92a28dd20/explore)
At 19:15:34, says, 's didn't text me anyways, just so you know – So you can get in my phone. Not with my permission, ever, but if you go in my phone, didn't text me, so I don't really give a fuck. It was all phone calls, buddy."
became quiet and calm again.
At 19:22:24, is captured moving in the back of the vehicle. If adjusted body and laid head against the back seat. If moved again and peered out of the back window. If remained quiet until 19:25:45. At 19:25:45, if calmly stated, "My wrists are so tight that it's cutting off all my circulation, and I'm going to go to my doctor's afterward. I hope that by recording this because – when I see my doctor, I asked him to loosen them up. I told him they were cutting into my wrist. They don't have to be this tight. I've been arrested before. There was no reason to clamp them down except for the fact that I farted when they were putting on the cuffs."
At 19:28:42, stated, "My fucking wrists are fucked up, and they hurt bad." Followed by, "I'm going to have this recording fucking brought up. I'm going to ask for the recording so that you can have the fucking charges against them for fucking - They don't have to have them this tight."
At 19:35:32, indicated that once the police let go, they would need to write a report about "arms" because his wrists were "fucked." remarked again that hands were numb and, moments later, that lost all feeling in right hand.
At 19:43:48, while facing the vehicle's back window, yelled out, "Hey! Can I have them check out my wrist 'cause it's fucking totally numb? Totally numb all the way around, guy. I got to go to the hospital. Alright, Whatever. It's on tape in here. So you guys know I said it. I know you heard me. You looked right at me. No reason to have him this tight."
told the Officers, "Haven't you guys learned? You never learn, do you? Now I got to file a suit against you for my fucking arms."
expressed that the Officers knew they were cutting off circulation and that they could hear asked for cuffs to be loosened several more times and stated that was going to have to go to the hospital. During this time, was looking out of the window, yelling, and banging on the inside of the cruiser. Continued to say that no longer had circulation in hands due to the cuffs.











Rochester Police Department Discovery Checklist Checklist  This document also includes the complaint regarding alleged disorderly conduct. Officer swore at him before turning abruptly toward officers with first balled "in an aggressive manner." Officer stated that swore at him before turning abruptly toward officers with first balled "in an aggressive manner." Officer stated that swore at him before turning abruptly toward officers with first balled "in an aggressive manner." Officer stated that swore at him before turning abruptly toward officer swore at him before turning abruptly toward officer swore and reviewed by Sergeant swore and reviewed by Sergeant swore and reviewed by Sergeant swore swore and reviewed on 07/10/2023.  This report outlines the events leading up to swore that stated velling obscenities, and when Officer told state in sactions were nindering the investigations of potentially suicidal swore and gave commands to turn around and gave from officers. Officer reported that as swore approached for the first sand tensed sams defensively appearing to ready self for a fight as stepped away from officers taking an aggressive fighting stance threatening that swo so going to fight officer. Officer sate assisted me with taking arresteel into custody without an incident." Officer sated that state of the commands to turn around and state of the commands to turn around and gave staking an aggressive fighting stance threatening that sate going to fight officer. Officer sated that sate of the commands to turn around and garesteel into custody without an incident." Officer sated that sate of the commands to turn around and garesteel into custody without an incident."	Evidence	Description	Provided	Reason	Filename
Checklist on 07/09/2023.  Checklist on 07/09/2023.  This document also includes the complaint regarding alleged disorderly conduct. Officer some at him before turning abruptly toward officers with agressive manner." Officer stated that some appearance ticket is also included in this document.  Incident Report by Officer and reviewed by Sergeant This report was completed by Officer Sergeant This report was completed and reviewed by Sergeant This report was completed and reviewed by Officer Sergeant This report was completed and reviewed on 07/10/2023.  This report outlines the events leading up to arrest.  Officer wrote that stated velling obscenities, and when Officer Indigent that his actions were hindering the investigations of potentially suicidal paproached Officer wrote that he moved toward for the detain and gave commands to turn around and away from officers. Officer reported that as approached for further, quickly turned toward officers and balled up firsts and tensed arms defensively appearing to ready self for a fight as stepped away from officers taking an aggressive fighting stance threatening that was going to fight officers. Officer assisted me with taking arrestee) into custody without an incident."			by	declined	
Incident The RPD Incident Report was completed by Officer and reviewed by Sergeant This report was completed and reviewed on 07/10/2023.  This report outlines the events leading up to arrest.  Officer wrote that started yelling obscenities, and when Officer word that hindering the investigations of potentially suicidal approached Officer wrote that he moved toward agave commands to turn around and away from officers. Officer wrote that as approached first and balled up firsts and tensed arms defensively appearing to ready self for a fight as stepped away from officers taking an aggressive fighting stance threatening that was going to fight officers. Officer assisted me with taking [arrestee] into custody without an incident."	Police Department Discovery	Checklist on 07/09/2023.  This document also includes the complaint regarding alleged disorderly conduct. Officer stated that swore at him before turning abruptly toward officers with fists balled "in an aggressive manner." Officer stated that stated "took a fighting stance."	KPD	N/A	CL,apt,info.pdf
Incident Report  The RPD Incident Report was completed by Officer and reviewed by Sergeant This report was completed and reviewed on 07/10/2023.  This report outlines the events leading up to arrest.  Officer wrote that started yelling obscenities, and when Officer Indiang the investigations of potentially suicidal approached Officer wrote that he moved toward for experiment of detain and gave commands to turn around and away from officers. Officer are guickly turned toward for a fight as stepped away from officers taking an aggressive fighting stance threatening that was going to fight officers. Officer assisted me with taking [arrestee] into custody without an incident."					
Report  by Officer and and reviewed by Sergeant completed and reviewed on 07/10/2023.  This report outlines the events leading up to arrest.  Officer wrote that stated yelling obscenities, and when Officer told that his actions were hindering the investigations of potentially suicidal approached Officer wrote that he moved toward for to detain and gave commands to turn around and away from officers. Officer reported that as approached first and tensed for a fight as stepped away from officers taking an aggressive fighting stance threatening that was going to fight officers. Officer assisted me with taking [arrestee] into custody without an incident."	In aid a t		DDD	NI/A	Insident Devent
yelling obscenities, and when Officer told that his actions were hindering the investigations of potentially suicidal approached Officer and screamed, "Arrest me." Officer worde that he moved toward commands to turn around and away from officers. Officer reported that as approached further,  "If quickly turned toward officers and balled up fists and tensed arms defensively appearing to ready self for a fight as stepped away from officers taking an aggressive fighting stance threatening that was going to fight officers. Officer assisted me with taking [arrestee] into custody without an incident."		by Officer and reviewed by Sergeant This report outlines the events leading up	IKPU	IN/A	Officer cor Supervisor cor , Merged By
"continued to trash around and kick about the back of the patrol car" while they spoke with other parties.  Officer stated that three hands hurting was due to the "thrashing"		velling obscenities, and when Officer told that his actions were hindering the investigations of potentially suicidal approached Officer and screamed, "Arrest me." Officer worde that he moved toward commands to turn around and away from officers. Officer reported that as approached further, quickly turned toward officers and balled up fists and tensed arms defensively appearing to ready self for a fight as stepped away from officers taking an aggressive fighting stance threatening that was going to fight officers. Officer stated that "continued to trash around and kick about the back of the patrol car" while they spoke with other parties.			



Evidence	Description	Provided by	Reason declined	Filename
Prisoner Data Report	The Prisoner Data Report outlines descriptive information about and and states that he was arrested for "Disorderly Conduct: Fight/Violent Behavior."		N/A	PDR, Officer cor , Supervisor cor , Merged By COR .pdf
	Outlines the 911 call and subsequent police response.	RPD	N/A	I NetViewer Event Information.pdf
CAD card (Net Viewer Event Unit)	Outlines the unit response to the incident.	RPD	N/A	I NetViewer Event Unit.pdf
	Body Worn Camera Footage for Officer			A7001 816791202307 09185146 0004A.MP4 A7001 816791202307 09192147 0005A.MP4 A7001 816791202307 09195147 0006A.MP4 A7001 816791202307 09200545 0007A.MP4 A7001 816791202307 09201508 0008A.MP4
Body Worn Camera Footage	Body Worn Camera Footage for Officer		entirety of this	00458 AE2626202307 09185124 0003.MP4 00458 AE2626202307 09193226 0004.MP4 00458 AE2626202307 09195957 0005.MP4



Evidence	Description	Provided by	Reason declined	Filename
	Body Worn Camera Footage for Officer	RPD	stating that the Officers on the scene did not capture various interactions between RPD and civilians. Captain replied that the PAB had all the videos on file for this incident. It should be noted that the entirety of this event was not captured on BWC. On 03/06/2024, the	A6966 819312202307 09185229 0009A.MP4 A6966 819312202307 09191608 0010A.MP4 A6966 819312202307 09193928 0011A.MP4 A6966 819312202307 09194259 0012A.MP4 A6966 819312202307 09200009 0013A.MP4
Interview	Audio/video recording of the PAB	PAB	interactions between RPD and civilians. Captain replied that the PAB had all the videos on file for this incident. N/A	ZOOM0001.MP3
	investigative interview with	FAD	IN/A	ZOOMOOOT.MIPS
Interview	Notes of the phone interview conducted with	PAB	N/A	https://cityofrochester.i = sight.com/note/12a6d6 71-f216-4f8b-b9b1- 7e5a677b6e8d



Evidence	Description	Provided	Reason	Filename
	70	by	declined	
Interview with	Notes of the phone interview conducted with	PAB	N/A	https://cityofrochester.i sight.com/note/1a6b52 87-d4e3-405e-8f86-
2.00				2d9d1a8d6a52
Officer Interview Request	Interview Request for Officer		interview request, citing the Locust Club Collective Bargaining	https://cityofrochester.i = sight.com/file/2cbb345 d-af38-4dfe-8921- 7bcdc8a23fcc#
0.1	17 17 1 6 05	D.4.D.	Agreement.	
Subpoena for Officer Testimony			Corporation Counsel declined to comply with the subpoena, citing the Locust Club Collective Bargaining Agreement.	
Disciplinary Records	Disciplinary Records for the involved Officers			N/A
video of	Pictures and video of wrist depict deep red indentations. The skin is not bruised or broken. An RPD squad car is visible in the background of the pictures and video.		N/Á	Reporter Data



245 E. Main Street Rochester, NY 14604

#### **APPLICABLE RULES & LAWS**

# Rule 2.1b (General Duties)

b) Employees shall perform their duties in a competent manner.

# Rule 2.15 (Arrest)

Members shall make arrests in full compliance and conformity with all laws and Department procedures.

### Rule 2.23b, 2.23c (Performance of Duties)

- a) Employees shall not commit an act of misfeasance.
- b) Employees shall not commit an act of malfeasance.

# Rule 4.2a (Courtesy)

a) Employees shall be courteous, civil and tactful in the performance of their duties.

### Rule 4.6 (Truthfulness)

Employees are required to be truthful in speech and writing, whether or not under oath.

# Rule 5.1a, 5.1c (Altering, delaying, or falsifying reports)

- a) Employees shall not steal, alter, falsify, tamper with, withdraw, or request that any other person do the same to any report, letter, request, or other communication that is being forwarded through the chain of command. The removal of any record, card, report, letter, document, or other official file from the Department, or the permitting of inspection of same, except by process of law or as directed by the Chief of Police or a superior, is prohibited. Additionally, the obtaining/duplicating or attempted obtaining or duplicating of any information from Department files, sources or reports other than that to which one is properly entitled in accordance with one's duties/assignments is prohibited. This shall not apply to the correction of errors.
- c) Employees shall not falsely make or submit any type of official report or knowingly enter or cause to be entered any inaccurate, false, or improper information on the records of the Department.



# **General Order 335 (Subject Resistance Report)**

#### I. DEFINITIONS

A. <u>Appropriate Force</u> - The reasonable force, based upon the totality of the circumstances known by the member, to affect an arrest, overcome resistance, control an individual or situation, defend oneself or others, or prevent a subject's escape.

B. <u>Force</u> - Any intentional physical strength or energy exerted or brought to bear upon or against a person for the purpose of compulsion, constraint or restraint.

#### II. POLICY

A. Members may use only that level of physical force necessary in the performance of their duties within the limits established by Article 35 of the New York State Penal Law and consistent with the training and policies of the Rochester Police Department (RPD). Appropriateness of force used is dependent on the "totality of the circumstances" at the moment the force is used. The Use of Deadly Physical Force will be governed by G.O. 340.

It is the responsibility of each member to be aware of the requirements of Article 35 and to guide their actions based upon that law and Departmental policy and training.

# **General Order 337 (Use of Force)**

#### **II. DEFINTIONS**

<u>Aggression</u> – actions of Resistance that create a reasonable perception to the Member of an attempted attack or actual attack on the Member or another person.

<u>Force</u> – any intentional physical strength or energy exerted or brought to bear upon or against a person for the purpose of compulsion, constraint, or restraint.

General Order 585 (Arrest)

Physical Injury – Impairment of physical condition or substantial pain.

Resistance – non-compliance with a Member's lawful commands.

<u>Objectively Reasonable</u> – A standard used to judge an officer's actions. Under this standard a particular application of force must be judged through the perspective of a reasonable officer facing the same set of circumstances, without the benefit of 20/20 hindsight and based on the totality of the facts that are known to that officer at the time that the force was used.

Necessary Force – The amount of force used is objectively reasonable and proportional to effect the lawful purpose intended when no objectively reasonable alternative to the use of force appears to exist.



<u>Proportional</u> – The level of force applied must correspond to the totality of circumstances surrounding the situation at hand, including the nature and immediacy of any threats posed to officers and others. Officers must rely on training, experience, and assessment of the situation to decide an appropriate level of force to apply.

<u>Totality of the Circumstances</u> – Those circumstances that would lead a reasonable officer to believe that he/she is encountering a situation that may require the use of force. Circumstances to consider may include the nature of the offense, seriousness of the offense, size and strength of the person, number of persons, availability of weapons, mental instability of the person, availability of other force options, training and experience of the Member and person, environmental factors, presence of bystanders, and availability of back up and specialized units. This list is not meant to be exhaustive.

#### III. POLICY

A. RPD recognizes and respects the value and sanctity of all human life. Members are expected to carry out their duties and act with the highest regard for the preservation of human life and the safety of all persons involved.

- B. RPD's goal is to gain voluntary compliance of persons without resorting to the use of force. Though Members are authorized to use reasonable force when necessary, Members should attempt to resolve situations without using force whenever possible.
- C. Members are only authorized to use force that is objectively reasonable, necessary, and proportional, under the totality of the circumstances, in order to effect a lawful purpose, including to ensure the safety of a Member or third person, stop an attack, make an arrest, control a person evading a Member's lawful commands, or prevent escape.
- D. Members shall use the least amount of force necessary based on the totality of circumstances and shall cease using any force once a person becomes compliant. E. Members using force must continually assess the situation and adjust the use of force as necessary. As a person's resistance decreases, Members shall decrease their use of force accordingly.

#### VII. FAILURE TO FOLLOW POLICY

A. Members who fail to respond to resistance in accordance with departmental policies and the law may be subjected to departmental discipline, criminal prosecution, and/or civil liability.

# **General Order 585 (Arrest)**

#### I. DEFINITIONS

B. Reasonable Cause: "Reasonable cause to believe that a person has committed an offense" exists when evidence or information which appears reliable discloses facts or circumstances which are collectively of such weight and persuasiveness as to convince a person of ordinary intelligence, judgment and experience that it is reasonably likely that such offense was committed and that such person committed it. NY Criminal Procedure Law (CPL), § 70.10-2.



245 E. Main Street Rochester, NY 14604

NOTE: This term may be used interchangeably with the term "probable cause."

#### **II. POLICY**

A. The authority to arrest, granted by the people of the State of New York to a police officer, carries with it the responsibility to exercise discretion, but that discretion is necessarily limited. A variety of circumstances (e.g., seriousness of conduct, willingness of the victim to prosecute with exception of domestic violence mandatory arrests, age of the suspect, recidivism), as well as various options (e.g., resolution, warning, referral, summons, appearance ticket, physical arrest), warrant due consideration prior to any action.

B. It is the policy of the Rochester Police Department (RPD) that no person will be arrested without reasonable cause to believe that an offense has been committed. Authority to arrest is strictly limited to those situations where the Criminal Procedure Law (CPL) of the State of New York authorizes an arrest.

#### III. PROCEDURES

- A. On View Arrests Without A Warrant
- 1. Members may make arrests for offenses (violations, misdemeanors, or felonies) that are committed in their presence in accordance with the CPL of the State of New York.

# **Rochester Police Department Body-Worn Camera Manual**

#### I. DEFINITIONS

A. Body-Worn Camera (BWC): Overt mobile audio- and video-capture device issued by the Rochester Police Department (RPD) designed to be worn by RPD employees in the course of their duties.

# IV. RECORDING REQUIREMENTS AND RESTRICTIONS

A. Members assigned a BWC will activate it and record all activities, and all contact with persons, in the course of performing police duties as soon as it is safe and practical to do so, as set forth in this Manual.

1. Members will activate and record with the BWC preferably upon being dispatched and prior to exiting their police vehicle, or prior to commencing any activity if on foot patrol, as set forth below.

### Criminal Penal Law § 240.20(1) Disorderly Conduct

A person is guilty of disorderly conduct when, with intent to cause public inconvenience, annoyance or alarm, or recklessly creating a risk thereof:

1. He engages in fighting or in violent, tumultuous or threatening behavior



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# People v. Baker 20 NY3d 354 (2013)

Following a verbal exchange between defendant and a police officer on a Rochester street, defendant was arrested for disorderly conduct. He challenged the legality of his arrest, arguing that the statements and conduct that preceded it did not rise to the level of disorderly conduct. The Court agreed.

The court found, as is clear from the precedent, critical to a charge of disorderly conduct is a finding that defendant's disruptive statements and behavior were of a **public** rather than an individual dimension. This requirement stems from the mens rea (mental state) component, which requires proof of an intent to threaten public safety, peace or order (or the reckless creation of such a risk). Thus, "a person may be guilty of disorderly conduct only when the situation extends beyond the exchange between the individual disputants to a point where it becomes a potential or immediate public problem." (People v Weaver, 16 NY3d 123, 128 [2011]).



# ANALYSIS

#### STANDARD OF PROOF

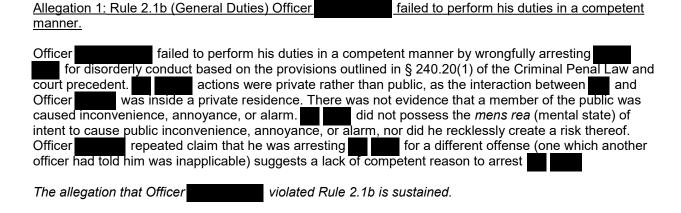
For the purpose of PAB's investigations, findings must be made pursuant to a "substantial evidence" standard of proof. City Charter 18-5(I)(10). This standard is met when there is enough relevant and credible evidence in the record as a whole that a reasonable person could support the conclusion made. (See 4 CFR §28.61(d)).

Substantial evidence means more than a mere scintilla but less than a preponderance; it means such relevant evidence as a reasonable mind might accept as adequate to support a conclusion. See NLRB v. Int'l Bhd. of Elec. Workers, Local 48, 345 F.3d 1049, 1054 (9th Cir. 2003); De la Fuente II v. FDIC, 332 F.3d 1208, 1220 (9th Cir. 2003). However, for the purposes of this case, the higher standard of by a preponderance of evidence is applied. Merriam Webster defines preponderance of evidences as, "The standard of proof in most civil cases in which the party bearing the burden of proof must present evidence which is more credible and convincing than that presented by the other party or which shows that the fact to be proven is more probable than not." (https://www.merriamwebster.com/legal/preponderance%20of%20the%20evidence). This is understood to be a greater than

50% chance that the claim is true

(https://www.law.cornell.edu/wex/preponderance of the evidence#:~:text=Preponderance%20of%20the %20evidence%20is,that%20the%20claim%20is%20true).

After reviewing all available evidence, the following findings are recommended based on the above standards:





through the wrongful exercise of lawful authority when arresting
Merriam-Webster's dictionary defines misfeasance as "the performance of a lawful action in an illegal or improper manner." When analyzing the difference between misfeasance and malfeasance, misfeasance suggests an unintentional wrongful act, whereas malfeasance is committed knowingly.
Although Officer failed to arrest in full compliance and conformity with all laws and Department procedures, based on the available evidence, it was determined that Officer committed a wrongful act knowingly.
The allegation that Officer violated Rule 2.23b is not sustained.
Allegation 3: Rule 2.23c (Performance of Duties) Officer committed an act of malfeasance, by wrongfully arresting
Merriam-Webster's dictionary defines malfeasance as "wrongdoing or misconduct, especially by a public official." When analyzing the difference between misfeasance and malfeasance, misfeasance suggests an unintentional wrongful act, whereas malfeasance is committed knowingly.
Officer failed to arrest in full compliance and conformity with all laws and Department procedures; based on the available evidence, it was determined that Officer committed malfeasance when he knowingly engaged in a wrongful act by placing under arrest even though had not committed a crime. Statements viewed on Body Worn Camera suggests that Officer determined that he would be unable to charge with Obstructing Governmental Administration. Nonetheless, he arrested later charging with a different and inapplicable offense (Disorderly Conduct.)
The allegation that Officer violated Rule 2.23c is sustained.
Allegation 4: Rule 4.2a (Courtesy) Officer failed to remain courteous, civil and tactful in the performance of his duties.
Officer failed to remain courteous, civil, and tactful in the performance of his duties by yelling at making statements such as "you did it to yourself," and "you want to yell at me and tell me fuck you and suck your dick, huh?" was obviously agitated out of concern for being arrested, and Officer did not exhibit tact in his dealings with
The allegation that Officer violated Rule 4.2a is sustained.



Allegation 5: Rule 4.6 (Truthfulness) Officer	was untruthful when documenting this incident
on the Incident Report and Accusatory Instrument.	
Accusatory Instrument by inaccurately depicting the even	is incident on the Incident Report and
The allegation that Officer violated Rule 4.6	is sustained.
Allegation 6: Rule 5.1a (Altering, delaying, or falsifying report and Accusatory Instrument by inaccurately depicting	
Based on the preponderance of the evidence, it was dete Incident Report and Accusatory Instrument by inaccurate	
During the interaction, Officer indicated that Governmental Administration, or 'OGA.' However, Officer Disorderly Conduct, § 240.20(1) of the New York State Pe	
§ 240.20(1) states, "A person is guilty of disorderly condu inconvenience, annoyance or alarm, or recklessly creating violent, tumultuous, or threatening behavior."	
Officer report claims that "turned abrup aggressive manner and took a fighting stance." When and BWC footage, hands can be seen throughout than dhands leave the frame for no more than a few secon fists, and it is more likely than not that this act did not occition.	alyzing Officer and Officer and Officer when majority of the interaction.  When the majority of the interaction and Officer was never captured balling.
It should be noted that Officer appears to exhibit to Officer states, "Don't tell me fuck you," and, "You me fuck you and suck your dick, huh?"	bias against Upon arresting Upon arresting did it to yourself - You want to yell at me and tell
Furthermore, Officer had motive to falsify the docu	ment to avoid a claim of false arrest.
The allegation that Officer violated Rule 5.1a	a is sustained.



Allegation 7: General Order 335 (Subject Resistance Report) Officer used unnecessary
force when mechanically restraining via handcuffs.
There was insufficient evidence to determine if Officer used unnecessary force when mechanically restraining via handcuffs.
The allegation that Officer violated General Order 335 is not sustained.
Allegation 8: General Order 337 (Use of Force) Officer used unnecessary force when with a handcuffs.
There was insufficient evidence to determine if Officer mechanically restraining via handcuffs.
The allegation that Officer violated General Order 337 is not sustained.
Allegation 9: General Order 585 (Arrest) Officer arrested arrested without reasonable cause, and not in accordance with the PL or CPL of the State of New York.
Officer ultimately arrested for Disorderly Conduct, § 240.20(1) of the New York. State Criminal Penal Law (CPL). § 240.20(1) states, "A person is guilty of disorderly conduct when with intent to cause public inconvenience, annoyance or alarm, or recklessly creating a risk thereof: (1) He engages in fighting or in violent, tumultuous, or threatening behavior." actions were private rather than public, as the interaction between and Officer was inside a private home. did not possess the mens rea of intent to cause public inconvenience, annoyance, or alarm, nor did recklessly create a risk thereof.
The allegation that Officer violated General Order 585 is sustained.
Allegation 10: Officer <u>Failed to activate and use his BWC in accordance with the Body Worn Camera Manual.</u>
Officer failed to activate and use his BWC in accordance with the Body Worn Camera Manual. The manual defines a Body-Worn Camera (BWC) as an "overt mobile audio- and video-capture device issued by the Rochester Police Department (RPD) designed to be worn by RPD employees in the course of their duties." Further, members assigned a BWC will activate it and record all activities, and all contact with persons, in the course of performing police cuties as soon as it is safe and practical to do so, as set forth in this Manual. Additionally, members are to activate their cameras prior to exiting their police vehicle or prior to commencing any activity if on foot patrol, as set forth below.
Officer failed to record his activities when he removed the camera from his body. Officer also failed to activate his camera prior to exiting his vehicle.
The allegation that Officer violated the Body-Worn Camera policy is sustained.



Allegation 11: Officer failed to activate his BWC in accordance with the Body Worn Camera Manual.
Officer failed to activate his BWC in accordance with the Body Worn Camera Manual. Members assigned a BWC will activate it and record all activities, and all contact with persons, in the course of performing police duties as soon as it is safe and practical to do so, as set forth in this Manual. Additionally, members are to activate their cameras prior to exiting their police vehicle or prior to commencing any activity if on foot patrol, as set forth below.
Officer failed to record his activities per policy, as significant gaps in the police response he provided for this incident were not recorded. Officer also failed to activate his camera before exiting his vehicle.
The allegation that Officer violated the Body-Worn Camera policy is sustained.
Allegation 12: Officer failed to activate his BWC in accordance with the Body Worn Camera Manual.
Officer failed to activate his BWC in accordance with the Body Worn Camera Manual. Members assigned a BWC will activate it and record <u>all activities</u> , and all contact with persons, in the course of performing police duties as soon as it is safe and practical to do so, as set forth in this Manual. Additionally, members are to activate their cameras prior to exiting their police vehicle or prior to commencing any activity if on foot patrol, as set forth below.
Officer failed to record his activities per policy, as significant gaps in the police response he provided for this incident were not recorded. Officer also failed to activate his camera before exiting his vehicle.
The allegation that Officer violated the Body-Worn Camera policy is sustained.



# RECOMMENDED FINDINGS

#	Officer	Allegation	Finding/Recommendation
1	Officer	Rule 2.1b (General Duties) Officer failed to perform his duties in a competent manner.	Sustained
2	Officer	Rule 2.23b (Performance of Duties) Officer committed an act of misfeasance, through the wrongful exercise of lawful authority when arresting	the second control of
3	Officer	Rule 2.23c (Performance of Duties) Officer committed an act of malfeasance, by wrongfully arresting	Sustained
4	Officer	Rule 4.2a (Courtesy) Officer failed to remain courteous, civil and tactful in the performance of his duties.	Sustained
5	Officer	Rule 4.6 (Truthfulness) Officer was untruthful when documenting this incident on the Incident Report and Accusatory Instrument.	Sustained
6	Officer	Rule 5.1a (Altering, delaying, or falsifying reports) Officer falsified the Incident Report and Accusatory Instrument by inaccurately depicting the events that led to the arrest to	Sustained
7	Officer	General Order 335 (Subject Resistance Report) Officer used unnecessary force when mechanically restraining via handcuffs.	Not Sustained
8	Officer	General Order 337 (Use of Force) Officer used unnecessary force when mechanically restraining via handcuffs.	Not Sustained
9	Officer	General Order 585 (Arrest) Officer arrested without a legal basis to do so.	Sustained
10	Officer	Body Worn Camera Manual: Officer failed to activate and use his BWC in accordance with the Body Worn Camera Manual.	Sustained



#	Officer	Allegation	Finding/Recommendation
11	Officer	Body Worn Camera Manual: Officer failed to activate his BWC in accordance with the Body Worn Camera Manual.	Sustained
12	Officer	Body Worn Camera Manual: Officer failed to activate his BWC in accordance with the Body Worn Camera Manual.	Sustained



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# RECOMMENDED DISCIPLINARY ACTION

### **AUTHORITY**

Article XVIII of the Rochester City Charter further requires that the Police Accountability Board create a "written, consistent, progressive and transparent tool or rubric" that "shall include clearly delineated penalty levels with ranges of sanctions which progressively increase based on the gravity of the misconduct and the number of prior sustained complaints." This disciplinary matrix is a non-binding set of guidelines for the Police Accountability Board's own recommendations regarding officer misconduct.

According to the matrix, the disciplinary history of an officer will be considered when assessing an appropriate penalty resulting from the current investigation. Prior discipline changes the presumptive penalties according to the matrix. Mitigating and aggravating factors related to the misconduct may be considered when determining the level of discipline, so long as an explanation is provided.

The Recommended Disciplinary Action based on the above Recommended Findings is as follows:

# Sustained Allegation 1 against Officer

### **DISCIPLINARY MATRIX APPENDIX**

Misconduct		Level
Rule 2.1b (General Duties) Officer competent manner.	ed to perform his duties in a	2

- <u>Recommended Level:</u> 2 ("More than minimal negative impact to individuals, community, public perception of the agency or relationships with other officers, or agencies")
- Recommended Discipline (based on 0 known prior sustained violations): 5 day suspension
- Explanation of deviation from presumptive penalty: No deviation.

#### Sustained Allegation 3 against Officer

Misconduct	Level
Rule 2.23c (Performance of Duties) Officer committed an act of malfeasance, by wrongfully arresting	3

- <u>Recommended Level:</u> 3 ("Pronounced negative impact to individuals, community, public perception
  of the agency or relationships with other officers, or agencies.")
- Recommended Discipline (based on 0 known prior sustained violations): 10 day suspension
- Explanation of deviation from presumptive penalty: No deviation.



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# Sustained Allegation 4 against Officer

### **DISCIPLINARY MATRIX APPENDIX**

Misconduct		Level
Rule 4.2a (Courtesy) Officer the performance of his duties.	failed to remain courteous, civil and tactful in	3

- Recommended Level: 3 ("Pronounced negative impact to individuals, community, public perception of the agency or relationships with other officers, or agencies.")
- Recommended Discipline (based on 0 known prior sustained violations): 10 day suspension
- Explanation of deviation from presumptive penalty: No deviation.

# Sustained Allegation 5 against Officer

	Level
not truthful in speech or writing,	5
	not truthful in speech or writing,

- Recommended Level: 4 ("Significant negative impact on the community or department image or operations, or relationships with other officers, or agencies.")
- Recommended Discipline (based on 0 known prior sustained violations): 60 day suspension
- <u>Explanation of deviation from presumptive penalty:</u> Though the false statement on the report may have been intentional, it is not known that this officer has a history of falsification and the level of untruthfulness is not high.



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# Sustained Allegation 6 against Officer

### **DISCIPLINARY MATRIX APPENDIX**

Misconduct	Level
Rule 5.1a (Altering, delaying, or falsifying reports) Officer falsified the Incident Report and Accusatory Instrument by inaccurately depicting the events that led to the arrest to	5

- Recommended Level: 4 ("Significant negative impact on the community or department image or operations, or relationships with other officers, or agencies.")
- Recommended Discipline (based on 0 known prior sustained violations): 60 day suspension.
- <u>Explanation of deviation from presumptive penalty:</u> There was only one detail in the report that was determined to have been inaccurately depicted.

# Sustained Allegation 9 against Officer

Misconduct	Level
General Order 585 (Arrest) Officer arrested arrested without reasonable cause, and not in accordance with the PL or CPL of the State of New York.	5

- Recommended Level: 4 ("Significant negative impact on the community or department image or operations, or relationships with other officers, or agencies.")
- Recommended Discipline (based on 0 known prior sustained violations): 60 day suspension
- Explanation of deviation from presumptive penalty: Though a false arrest is very serious, this is the first time Officer has been accused of falsifying an arrest, and termination may not be appropriate.



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# Sustained Allegation 10 against Officer

# oustained Allegation to against officer

# **DISCIPLINARY MATRIX APPENDIX**

Misconduct	Level
Body Worn Camera Manual: Officer failed to activate and use his BWC accordance with the Body Worn Camera Manual.	in 4

- Recommended Level: 4 ("Significant negative impact to individuals, community, public perception of the agency or relationships with other officers, or agencies.")
- Recommended Discipline (based on 0 known prior sustained violations): **60-day suspension**
- Explanation of deviation from presumptive penalty: No deviation.

# Sustained Allegation 11 against Officer

# **DISCIPLINARY MATRIX APPENDIX**

Misconduct	Level
Body Worn Camera Manual: Officer failed to activate and use his BWC in accordance with the Body Worn Camera Manual.	4

- Recommended Level: 4 ("Significant negative impact to individuals, community, public perception of the agency or relationships with other officers, or agencies.")
- Recommended Discipline (based on 0 known prior sustained violations): 60-day suspension
- <u>Explanation of deviation from presumptive penalty:</u> No deviation.

# Sustained Allegation 12 against Officer

Misconduct		Level
Body Worn Camera Manual: Officer BWC in accordance with the Body Worn Camera Man	failed to activate and use his ual.	4

- Recommended Level: 4 ("Significant negative impact to individuals, community, public perception of the agency or relationships with other officers, or agencies.")
- Recommended Discipline (based on 0 known prior sustained violations): 60-day suspension
- Explanation of deviation from presumptive penalty: No deviation.